

### Remarks

This is in response to the Official Action of October 14, 2004.

Newly presented claim 37 contains in combination the recitations previously found in claims 20, 21, and 26, and is submitted to complete the record. Newly presented claims 38- 42, dependent upon claim 37, correspond to previously presented claims 22-25 and 28, and are likewise presented to complete the record. Claim 37 is free of the prior art of record, and hence it is respectfully submitted that claims 37-42 should be allowed.

The points raised in the official action are addressed below in the order originally set forth.

Claims 20 and 22-28 stand rejected as anticipated under 35 USC 102(e) by Xu et al. US 2003/0125225). The present application has a priority date of May 15, 2002 (by virtue of a continuation claim to a parent case). Xu et al. has a filing date of November 25, 2002, but claims priority from provisional application 60/345,900, filed December 31, 2001. Review of the Xu et al. provisional application, in the paragraph describing "active agent" reveals that "hydrogen fluoride-pyridine" is absent therefrom (A copy of the Xu et al. provisional specification is enclosed; note page 7 therein). Hence Xu et al., for the purpose of teaching hydrogen fluoride-pyridine, has an effective filing date after the instant application and is not prior art. Accordingly, it is respectfully submitted that this rejection should be withdrawn.

Claims 20-25 and 27-28 stand rejected as obvious under 35 USC 103(a) over US Patent No. 6666986 to Vaartstra. This rejection is respectfully traversed. The action suggests that HF would form an adduct with  $\text{NH}_3$  (ammonia) which is named in Vaartstra. However, this combination is not suggested in Vaartstra. Vaartstra names  $\text{NH}_3$  as a possible choice of supercritical fluid, along with other compounds in a list that includes  $\text{CO}_2$ . Vaartstra breaks the two primary components of his invention into "supercritical" and "non-supercritical" components, including  $\text{NH}_3$  and  $\text{CO}_2$  in the former and HF in the latter. Vaartstra does not

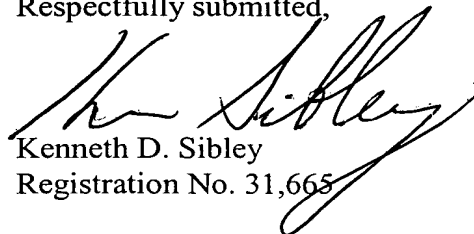
suggest combining  $\text{NH}_3$  along with  $\text{CO}_2$  as an additional supercritical component, and then further in combination with HF, to provide and adduct of HF and ammonia in a carbon dioxide composition. Accordingly, it is respectfully submitted that this rejection should be withdrawn.

Claims 20, 22, 24-26 and 28 stand rejected as obvious under 35 USC 103(a) over US Patent No. 6,763,840 to DeSimone et al. This rejection is respectfully traversed. It is said that DeSimone et al. teach a composition comprising liquid carbon dioxide and an adjunct, and that the adjunct can be selected from various acids including HF acid as well as bases such as secondary and tertiary amines). The combination of an acid with a base is neither suggested nor disclosed. Further, the combination of a particular acid, HF, with a particular base, secondary and tertiary amines, is neither suggested nor disclosed. A person of ordinary skill in the art would not be motivated to combine one with the other because the combination of an acid with a base would simply neutralize one another. Absent motivation to combine, it is respectfully submitted that this rejection should be withdrawn.

It is respectfully submitted that withdrawn method claims 1-20 should be rejoined with composition claims 21-28 and allowed for the same reason as the composition claims.

It is respectfully submitted that this application is in condition for allowance, which action is respectfully requested.

Respectfully submitted,



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Enclosure: Copy of Image File Wrapper of 60/345,900

12/31/01  
525 U.S. PTO

PTO/SB/16 (10-01)  
Approved for use through 10/31/2002 OMB 0651-0032  
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## PROVISIONAL APPLICATION FOR PATENT COVER SHEET

This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under 37 CFR 1.53(c).

Express Mail Label No. EL588675114US

### INVENTOR(S)

Given Name (first and middle (if any))	Family Name or Surname	Residence (City and either State or Foreign Country)
Chongying	Xu	New Milford, CT
David W.	Minsek	
Jeffrey F.	Roeder	Brookfield, CT
Thomas H.	Baum	New Fairfield, CT

☐ Additional inventors are being named on the \_\_\_\_\_ separately numbered sheets attached hereto

### TITLE OF THE INVENTION (500 characters max)

Supercritical Fluid Cleaning of Semiconductor Substrates

### Direct all correspondence to: CORRESPONDENCE ADDRESS

☐ Customer Number \_\_\_\_\_  
Type Customer Number here

Place Customer Number  
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### ENCLOSED APPLICATION PARTS (check all that apply)

☒ Specification Number of Pages

- 15

☐ CD(s), Number

☐ Drawing(s) Number of Sheets

☐ Other (specify)

☐ Application Data Sheet See 37 CFR 1.76

### METHOD OF PAYMENT OF FILING FEES FOR THIS PROVISIONAL APPLICATION FOR PATENT

☐ Applicant claims small entity status. See 37 CFR 1.27.

☒ A check or money order is enclosed to cover the filing fees

☒ The Commissioner is hereby authorized to charge filing fees, or credit any overpayment to Deposit Account Number.

08-3284

☐ Payment by credit card. Form PTO-2038 is attached

FILING FEE  
AMOUNT (\$)

160

The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government.

☒ No

☐ Yes, the name of the U.S. Government agency and the Government contract number are: \_\_\_\_\_

Respectfully submitted,

SIGNATURE

TYPED or PRINTED NAME Steven J. Hultquist

TELEPHONE 919-419-9350

Date 12/31/01

REGISTRATION NO.

28,021

(if appropriate)

Docket Number:

539

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This collection of information is required by 37 CFR 1.51. The information is used by the public to file (and by the PTO to process) a provisional application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the complete provisional application to the PTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO Box Provisional Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$160

## Complete if Known

Application Number	
Filing Date	December 31, 2001
First Named Inventor	Xu
Examiner Name	
Group Art Unit	
Attorney Docket No.	539

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account

Deposit Account Number: 08-3284  
Deposit Account Name: IPTL

The Commissioner is authorized to: (check all that apply)

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## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	160

SUBTOTAL (1) (\$) 160

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Extra Claims Fee from below Fee Paid  
Total Claims - 20\*\* =  X  =   
Independent Claims - 3\*\* =  X  =   
Multiple Dependent  =

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

0

\*\*or number previously paid, if greater, For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0

## SUBMITTED BY

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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re United States Patent Application of:

Applicant: Xu, et al.

Application No.: Not Yet Assigned

Date Filed: December 31, 2001

Title: SUPERCRITICAL FLUID  
CLEANING OF  
SEMICONDUCTOR  
SUBSTRATES

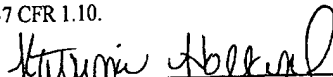
Docket No.: 2771-539 PRV  
(7486)

Examiner: Not Yet  
Assigned

Group Art Unit: Not Yet  
Assigned

EXPRESS MAIL CERTIFICATE

I hereby certify that I am mailing the attached documents to the Commissioner for Patents on the date specified, in an envelope addressed to the Commissioner for Patents, Box PROVISIONAL PATENT APPLICATION, Washington, D.C., 20231 and Express Mailed under the provisions of 37 CFR 1.10.

  
Katrina Holland

December 31, 2001  
Date

EL588675114US  
Express Mail Label Number

SUBMISSION OF NEW U.S. PROVISIONAL PATENT APPLICATION

Commissioner for Patents  
Box PROVISIONAL PATENT APPLICATION  
Washington, D.C. 20231

Sir:

Enclosed and submitted herewith is a new provisional patent application. A check in the amount of \$160.00 for the filing fee is also enclosed.

Please charge any deficiencies in payment and credit any overpayments in connection with this application to Deposit Account No. 08-3284 of Intellectual Property/Technology Law.

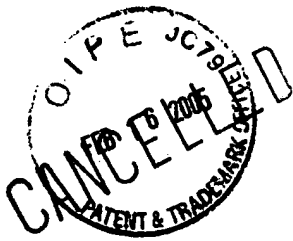
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60345900-123101



2771-539 PRV

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**UNITED STATES PATENT APPLICATION**

**OF**

**CHONGYING XU**

**DAVID W. MINSEK**

**JEFFREY F. ROEDER**

**THOMAS H. BAUM**

**FOR**

**SUPERCRITICAL FLUID CLEANING OF SEMICONDUCTOR SUBSTRATES**

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## BACKGROUND OF THE INVENTION

### Field of the Invention

The present invention relates generally to chemical techniques used in semiconductor wafer fabrication, and particularly, to chemical formulations and methods using supercritical fluids to  
5 remove unwanted material such as photoresists, metal oxides and residues from semiconductor substrates during semiconductor wafer processing.

### Description of the Prior Art

Photolithography is used to structurally define the patterns of the layers and doping regions on semiconductor wafers. Photolithography techniques comprise the steps of coating, exposure, and  
10 development. Initially, a wafer is coated with a photoresist substance and subsequently covered with a mask that defines specific areas to be either retained or removed in subsequent processes. Following the proper positioning of the mask, the photoresist layer is irradiated to harden the exposed photoresist material thereby making it resistant to removal and/or etching. The non-exposed  
15 photoresist material is then removed, or "developed," thereby leaving behind a pattern identical to the mask. Effective removal of the unwanted photoresist is crucial because incomplete removal of the non-exposed photoresist residue affects subsequent processes and compromises the quality of the finished semiconductor device.

At present, the favored technique to remove the developed photoresist is plasma ashing. Plasma ashing involves exposing the photoresist-covered wafer to oxygen plasma in order to burn the  
20 unexposed photoresist film from the substrate surface. However, plasma etching usually results in the formation of plasma-etching residue, and this residue must subsequently be removed by wet chemical treatment. During wet chemical treatment, the wafer is exposed to solutions containing cleaning solvents and corrosion-inhibiting amines. Notably, it is difficult to balance effective plasma-etching residue removal and corrosion inhibition because the residue and the wafer layers tend to be similar  
25 materials. As such, unwanted removal of desired layers or corrosion of metal layers often occurs. Additionally, the use of copious amounts of cleaning agents and solvents presents environmental and safety issues and involves substantial costs for proper handling and disposal of these chemical reagents.



It therefore would be advantageous to provide a development process that effectively removes unwanted photoresist material and residue, without the disadvantages associated with conventional photoresist removal techniques.

More generally, it would be highly advantageous to provide a means and method for removal of unwanted material from a semiconductor wafer, e.g., photoresist, metal oxide, chemical mechanical planarization (CMP) residues, etc. during wafer processing.

### SUMMARY OF THE INVENTION

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The present invention relates to chemical formulations and methods for removing unwanted material, e.g., unexposed photoresist, metal oxides, CMP residues, etc., from semiconductor wafers. The formulations of the invention utilize a supercritical fluid (SCF), as hereinafter more fully described.

In one aspect, the invention relates to a cleaning formulation useful for removing unwanted material from a surface having such unwanted material thereon, said cleaning formulation comprising a supercritical fluid, at least one co-solvent, and at least one additional active agent.

Another aspect of the invention relates to a semiconductor wafer cleaning formulation useful to remove non-irradiated photoresist from a semiconductor wafer surface having irradiated and non-irradiated photoresist regions thereon, such formulation comprising an etching gas in supercritical form.

A further aspect of the invention relates to a cleaning formulation for removing unwanted solid deposited material from a surface of a substrate having the unwanted solid deposited material thereon, said cleaning formulation comprising

- (I) a supercritical fluid comprising a fluid species selected from the group consisting of carbon dioxide, oxygen, argon, krypton, xenon, ammonia, and mixtures thereof; and
- (II) a co-solvent comprising a co-solvent species selected from the group consisting of methanol, ethanol, and higher alcohols, N-alkylpyrrolidones, such as N-methyl-, N-octyl-, or N-phenylpyrrolidones, dimethylsulfoxide, sulfolane, catechol, ethyl lactate, acetone, butyl carbitol, monoethanolamine, butyrol lactone, diglycol amine, alkyl ammonium fluoride,  $\gamma$ -

butyrolactone, butylene carbonate, ethylene carbonate, and propylene carbonate.

In yet another aspect, the invention relates to a method for fabricating a semiconductor wafer, such method comprising removing unwanted material from the surface of the wafer with a cleaning formulation comprising a supercritical fluid, at least one co-solvent, and at least one additional active agent.

A still further aspect of the invention relates to a method of removing non-irradiated photoresist from a semiconductor wafer surface having irradiated and non-irradiated photoresist regions thereon, comprising contacting the semiconductor wafer surface with an etching gas in supercritical form.

In another aspect, the invention relates to a method for removing unwanted solid deposited material from a surface of a substrate having the unwanted solid deposited material thereon, comprising contacting the substrate surface with a cleaning formulation comprising:

(I) a supercritical fluid comprising a fluid species selected from the group consisting of carbon dioxide, oxygen, argon, krypton, xenon, ammonia, and mixtures thereof; and

(II) a co-solvent comprising a co-solvent species selected from the group consisting of methanol, ethanol, and higher alcohols, N-alkylpyrrolidones, such as N-methyl-, N-octyl-, or N-phenylpyrrolidones, dimethylsulfoxide, sulfolane, catechol, ethyl lactate, acetone, butyl carbitol, monoethanolamine, butyrol lactone, diglycol amine, alkyl ammonium fluoride,  $\gamma$ -butyrolactone, butylene carbonate, ethylene carbonate, and propylene carbonate.

Another aspect of the invention relates to a method of integrated circuit (IC) manufacture on a semiconductor substrate, comprising cleaning the semiconductor substrate to remove organic and/or inorganic material present thereon, wherein such cleaning comprises contacting the semiconductor substrate with a supercritical fluid-based cleaning composition including at least one of (I) co-solvent(s), (II) surfactant(s), (III) chelating agent(s), and (IV) chemical reactant(s).

A further method aspect of the invention relates to a method of integrated circuit (IC) manufacture on a semiconductor substrate, comprising cleaning the semiconductor substrate to remove organic and/or inorganic material present thereon, wherein such cleaning comprises contacting the semiconductor substrate with a supercritical fluid-based cleaning composition to permeate same into the material,

and heating the semiconductor substrate to induce removal of the material therefrom by the action of the supercritical fluid-based cleaning composition.

Another aspect of the invention relates to a method of integrated circuit (IC) manufacture on a semiconductor substrate, comprising cleaning the semiconductor substrate to remove organic and/or inorganic material present thereon, wherein such cleaning comprises contacting the semiconductor substrate with a supercritical fluid-based cleaning composition in a pulsed mode of operation involving intermittent administration of energy to the cleaning composition on the semiconductor substrate.

A further aspect of the invention relates to a method of removing non-irradiated photoresist from a semiconductor wafer surface having irradiated and non-irradiated photoresist regions thereon, comprising contacting the semiconductor wafer surface with an etching agent in the presence of a supercritical fluid.

In another method aspect, the invention relates to a method of cleaning a printed circuit board surface of unwanted metal oxides thereon, comprising contacting the printed circuit board surface with a cleaning formulation comprising an acid dissolved in a supercritical fluid.

The invention relates in another aspect to a method of cleaning a surface of unwanted material deposited thereon, comprising contacting the surface with a cleaning formulation comprising a reducing agent in a supercritical fluid.

A further aspect of the invention relates to a method of surface treatment of a substrate to produce a modified surface amenable to further processing, such method comprising contacting the substrate surface with a modifying composition comprising a supercritical fluid and at least one surface-modifying component effective to change the chemical properties of the substrate surface, or to protect underlying material or structure associated with such substrate surface.

A still further aspect of the invention relates to a surface modification composition for modifying the chemical properties of a substrate surface or to protect underlying material or structure associated with the substrate surface, said composition comprising an SCF and a long-chain organic material.

Other aspects, features and embodiments of the present invention will be more fully apparent from

the ensuing disclosure and appended claims.

## **DETAILED DESCRIPTION OF THE INVENTION, AND PREFERRED EMBODIMENTS THEREOF**

Supercritical fluids are formed under conditions at which the density of the liquid phase equals the density of the gaseous phase of the substance. For example, carbon dioxide (CO<sub>2</sub>), which is a gas at standard temperature and pressure, undergoes a transition from liquid to SCF above a critical point, corresponding to  $T_c \geq 31.1^\circ\text{C}$  and  $p_c \geq 72.8$  atm. Once formed, the density of the SCF can be varied from liquid-like to gaseous-like, yielding different solvation abilities, by varying the pressure and temperature. Supercritical fluids have a solubility and diffusibility approaching that of the liquid and gaseous phase, respectively. Additionally, the surface tension of SCFs is negligible.

Because of readily manufactured character, ability to be recycled, lack of toxicity and negligible environmental effects, supercritical CO<sub>2</sub> is a preferred SCF in the broad practice of the present invention, although the invention may be practiced with any suitable SCF species, with the choice of a particular SCF depending on the specific application involved.

The present invention relates to supercritical fluid-based cleaning compositions for removal of unwanted material from semiconductor wafers. In specific embodiments, the cleaning compositions include at least one of (I) co-solvent(s), (II) surfactant(s), (III) chelating agent(s), and (IV) chemical reactant(s).

For example, pure SCF, such as supercritical CO<sub>2</sub> may be effective in some applications without any additional components, e.g., where the unwanted material on the semiconductor substrate is soluble in or otherwise completely removed by the supercritical CO<sub>2</sub>. In other applications, the SCF may not be an effective solvent for the removal of the unwanted material from the semiconductor substrate. In such case, the addition of appropriate co-solvent(s) may be employed to increase the solubility and removability of the photoresist material in SCF.

Alternatively, SCFs in combination with other components, such as surfactants, chelating agents and/or chemical reactants such as acids/bases or oxidants/reductants are contemplated in the practice of the invention to effect material removal. The use of additional components in the SCF-based formulation can be exploited to enhance the cleaning action of the composition, e.g., by manipulating

the critical temperature of the mixture, or by introducing polar or nonpolar constituents to the formulation in order to enhance the interactions of the cleaning formulation with a specific compound or material sought to be removed from the semiconductor substrate.

Due to the progressively smaller dimensions of semiconductor patterns, the SCF-based cleaning formulations of the invention provide a distinct advantage in penetrating small geometry structures such as vias and trenches on the semiconductor wafer, particularly in instances in which the wettability of the semiconductor substrate is low.

The cleaning formulations of the invention thus may be variously formulated with SCF(s), co-solvent(s), active agent(s), surfactant(s) and chelating agent(s).

10 In one aspect, the cleaning composition comprises an SCF, a co-solvent, and an active agent, for removal of unexposed photoresist, metal oxides, CMP residues, etc. Surface metal oxides pose a particular problem in semiconductor manufacturing electrodeposition operations, in that they can prevent proper nucleation of electroplated deposits and interfere with electrical conductivity.

15 In another aspect, the cleaning composition comprises an SCF, a co-solvent, an active agent, surfactant(s) and/or chelating agent(s), as necessary or desirable for removal of specific unwanted material on the semiconductor substrate.

Examples of SCF species useful in the broad practice of the invention include, but are not limited to, carbon dioxide, oxygen, argon, krypton, xenon, or ammonia.

20 An "active agent" as used herein is a material that induces chemical reaction, either in the cleaning composition as a reaction mixture, or at the surface of the semiconductor wafer, to enhance the cleaning and/or removal action of the formulation, relative to a corresponding formulation lacking such material. Examples of active agents include, without limitation, acids, bases, reducing agents and oxidizing agents. Preferably, the active agent is an acid or a reducing agent. When a reducing agent is solubilized in the SCF, the reducing agent may require activation, e.g., by an activation process involving thermal, optical, and/or sonic activation.

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Co-solvent species useful in the cleaning formulations of the invention may be of any suitable type. Illustrative species, include, but are not limited to, methanol, ethanol, and higher alcohols, N-

alkylpyrrolidones, such as N-methyl-, N-octyl-, or N-phenyl- pyrrolidones, dimethylsulfoxide, sulfolane, catechol, ethyl lactate, acetone, butyl carbitol, monoethanolamine, butyrol lactone, diglycol amine, alkyl ammonium fluoride,  $\gamma$ -butyrolactone, butylene carbonate, ethylene carbonate, and propylene carbonate.

- 5 Surfactants useful in the cleaning formulations of the present invention may likewise be of any suitable type, including anionic, neutral, cationic, and zwitterionic types. Illustrative surfactant species include, without limitation, acetylenic alcohols and diols, and long alkyl chain secondary and tertiary amines.

- 10 Chelating agents useful in the cleaning formulations of the invention may be of any suitable type, including, for example, polycarboxylic acids such as iminodiacetic acid and lauryl ethylenediamine triacetic acid,  $\beta$ -diketones such as 2,4-pentanedione and 1,1,1,5,5,5-hexafluoro-2,4-pentanedione, substituted dithiocarbamates, malonic acid esters, and polyethylene glycols.

- 15 Illustrative species of acids useful in the cleaning formulations of the invention include, without limitation, perfluorocarboxylic acids, and alkyl or aryl sulfonic acids. Illustrative species of bases useful in the cleaning formulations of the invention include, but are not limited to, amines, such as alkyl amines. Oxidizing agents useful in the broad practice of the invention include, without limitation, ozone, dinitrogen oxide, and potassium permanganate.

Reducing agents usefully employed in the cleaning formulations of the invention include, without limitation, hydrogen.

- 20 The components of (I) co-solvent(s), (II) surfactant(s), (III) chelating agent(s), and (IV) chemical reactant(s), when present in the SCF-based cleaning formulations of the present invention, may be present at any suitable concentrations and relative proportions, as appropriate to the use of the formulation in removing unwanted material from a substrate surface having such unwanted material deposited thereon. For example, the components (I)-(IV) may be present at concentrations of from  
25 about 0.1% by weight to about 30% by weight, when present in the formulation, or alternatively in concentration ranges having minima such as 0.2%, 0.5%, 1% and 5%, and maxima such as 25%, 20%, 18%, 15%, 12% and 10%, in any permutative combinations thereof. Further, the cleaning formulations of the invention may selectively comprise, consist of, or consist essentially of, any of the SCF and optionally additional components of the formulation disclosed herein. The weight

percentage concentrations of components of the cleaning formulation as referred to herein, are based on the total weight of the formulation, including the SCF(s) and all other components.

Another aspect of the invention relates to using traditional etching gases, such as, for example, sulfur hexafluoride ( $\text{SF}_6$ ) and carbon tetrafluoride ( $\text{CF}_4$ ), in a supercritical form to achieve higher etch rates than are achievable by conventional etch processes, and also to avoid the need for plasmas to activate the etching species. To stimulate the etch reaction using such supercritical etching gases, thermal activation or radiation can be used. The SCF, e.g.,  $\text{SF}_6$  or  $\text{CF}_4$ , can be used neat or in combination with other SCFs. Additionally, co-solvent(s), surfactant(s), chelating agent(s), and/or active agent(s) can be added to the supercritical etchant cleaning formulation.

Another aspect of the present invention relates to a method for fabricating a semiconductor wafer, in which photoresist, CMP residues and/or metal oxide substances are removed from the semiconductor wafer using an SCF-containing cleaning formulation, e.g., a cleaning formulation comprising a mixture of at least one supercritical fluid, at least one co-solvent, and at least one additional active agent.

The methods and cleaning formulations of this invention are advantageous for removing unwanted substrate materials, such as photoresists, metal oxides and CMP residues. Due to the high wetting character of the SCF, which allows it to penetrate small and complex geometries on the semiconductor wafer, the SCF is able to effect a high rate and extent of cleaning of the semiconductor substrate. Additionally, smaller quantities of toxic reagents are needed and more substantial cleaning of the substrate surface is achieved by the SCF-based cleaning formulations of the invention, relative to the procedures and compositions heretofore conventionally used in the art.

As a specific example, a semiconductor wafer in a semiconductor device manufacturing facility may be subjected to initial masking and photoresist radiation exposure, following which the semiconductor wafer is loaded and sealed in a process vessel. The process vessel is purged with a process gas that corresponds to the SCF gas but is at a non-supercritical condition, to displace all other gases in the vessel. In a specific embodiment, the process gas is  $\text{CO}_2$ , and the corresponding SCF used in the process is supercritical  $\text{CO}_2$ . Typically, when  $\text{CO}_2$  is used, the gaseous pressure of the  $\text{CO}_2$  purge gas does not exceed about 2 atm. After purging, the vessel is heated to a temperature  $T_0 > T_c$  and is pressurized to a pressure  $p_0 > p_c$  with preheated  $\text{CO}_2$ . The desired pressure,  $p_0$ , and temperature,  $T_0$ , are dependent on the wafers to be cleaned, the chemical formulation and process parameters, the material

to be removed from the wafer, and the process equipment that is employed. Once  $p_0$  and  $T_0$  are attained, the process vessel is charged with supercritical  $\text{CO}_2$ .

The semiconductor wafer cleaning formulation may comprise such  $\text{CO}_2$  alone, or more preferably a mixture of supercritical fluid  $\text{CO}_2$ , a co-solvent, and at least one additional active agent. The semiconductor wafer cleaning formulation is introduced to the vessel to remove unwanted material, e.g., photoresist, from the wafer. The cleaning operation is continued for sufficient time to effect substantially complete removal of the unwanted material from the wafer surfaces.

The contacting of the semiconductor wafer with the SCF-based semiconductor wafer cleaning formulation, or "soaking" of the wafer in the cleaning formulation, is carried out for a suitable period of time, which in a specific embodiment can for example be on the order of from about 20 to about 60 seconds, although other (longer or shorter) periods of contacting may be usefully employed depending on the nature and amount of the material to be removed from the semiconductor wafer, and the process conditions employed for wafer cleaning.

During the contacting with the wafer, the semiconductor wafer cleaning formulation at high pressure infuses into the unwanted surface material, e.g., photoresist, to cause swelling of the surface and weakening of the interface bonds. The semiconductor wafer cleaning formulation thus may be formulated to dissolve specific fluorinated compounds, metal oxides, or other coatings, layers or residues, to effect their removal from the substrate. Thus, for example, a reducing agent may be incorporated in the semiconductor wafer cleaning formulation, and activated during the wafer contacting step by suitable (e.g., thermal, optical, and/or sonic) activation techniques.

Following soaking of the wafer in the semiconductor wafer cleaning formulation, the vessel containing the wafer is rapidly decompressed while maintaining the process fluid in the vessel in a supercritical state. During decompression, the unwanted material on the substrate, which was weakened in the soaking step, is subjected to a sudden pressure differential to cause such unwanted material to break away from the substrate. The unwanted material components that are solubilized in the semiconductor wafer cleaning formulation in the higher pressure soaking step may drop out of solution in the lower pressure decompressed state and be readily collected for disposal, or such components may remain in solution and be readily removed from the pressure vessel by exhausting the unwanted material-containing semiconductor wafer cleaning formulation from the pressure vessel.



The above-mentioned decompression step may be conducted for any suitable period of time, e.g., on the order of 20 seconds, although longer or shorter times may be desirable depending on the character of the material to be removed from the semiconductor wafer surfaces and the specifics of the process. If necessary, repeated cycles of soaking and decompression may be utilized to achieve substantially complete removal of the unwanted material from the semiconductor wafer.

Following removal of the unwanted material, the wafer is rinsed with pure supercritical CO<sub>2</sub> for a suitable period of time, e.g., for 30 seconds. Thereafter, the vessel is dried so that the wafer can be removed. This is effected by maintaining the vessel temperature at  $T_0 > T_c$  and decreasing the pressure as  $T_0$  remains constant. The supercritical CO<sub>2</sub> under such conditions will transform directly into the gaseous phase without entering the liquid phase, thereby eliminating the risk of residual liquid droplet stains on the wafer.

In another aspect of the invention, etching gases such as sulfur hexafluoride or carbon tetrafluoride are utilized in a supercritical state for enhancement of etching to effect material removal, e.g., of photoresist, metal oxides, CMP residue, etc., from the semiconductor substrate.

In yet another variant aspect of the invention, supercritical SF<sub>6</sub> and/or supercritical CF<sub>4</sub> can be mixed with supercritical CO<sub>2</sub>, optionally with co-solvent(s) and the aforementioned active agents, analogous to the previously described semiconductor wafer cleaning formulations of the invention.

The etching gases utilized in such SCF-based cleaning formulations may be activated during the substrate contacting step by conventional activation techniques, such as thermal, optical and/or sonic activation techniques.

According to yet another embodiment of the invention, a supercritical fluid can be driven into the unwanted material film on the semiconductor substrate during an initial contacting step, followed by application of heat to the SCF-penetrated substrate film to effect expansion of the SCF, e.g., CO<sub>2</sub>, and resultant lift-off of the unwanted material film from the substrate. The contacting conditions are appropriately selected to uniformly distribute the SCF over the substrate surface, so that the SCF is substantially homogeneously dissolved in the film.

Upon application of heat, rapid expansion of the SCF, e.g., CO<sub>2</sub>, occurs, causing disruption, degradation and decomposition of the unwanted material, e.g., photoresist, film. The SCF contacting

and heating steps may be repetitively carried out as necessary to effect removal of any residual unwanted material on the semiconductor substrate. Alternatively, wet cleaning agents may be applied to the SCF-treated surface to complete the removal of residual material, or such wet cleaning treatment may be followed by renewed SCF treatment. It will be appreciated that such use of SCF  
 5 may be combined with other unwanted material removal agents and approaches, as necessary or desirable in a given application to yield substantially complete removal of the unwanted material from the semiconductor substrate.

The present invention broadly contemplates the enhancement of currently employed semiconductor wafer etchant materials by use of SCF at the etching locus, to facilitate transport of the etchant into  
 10 fine feature areas of the semiconductor substrate. Such augmentation of the etching technique enables effective pattern definition, e.g., of high aspect ratio vias and trenches, to be achieved. Such use of SCF agents in combination with established etching agents also overcomes issues associated with low etch rates, and thereby enables etchants to be used, which would otherwise be of low or marginal utility due to their inadequate permeation or penetration into the material layer or residue on  
 15 the substrate surface.

Further, the invention contemplates the use of traditional etching agents such as  $\text{SF}_6$ ,  $\text{CF}_4$ , etc. in supercritical form themselves, e.g., in a neat SCF form, or in combination with other SCF agents, such as  $\text{CO}_2$ , etc., to achieve etching rates and extent of removal of unwanted substrate material, which are substantially in excess of those achievable by conventional processes, and without the need  
 20 for plasma activation of the etchant.

Etchant compositions in accordance with the invention encompass formulations including the use of chelating agents dissolved in the supercritical fluid. Thermal activation and other activation modalities can be employed to stimulate the etching reaction, e.g., UV light exposure and/or laser energy impingement on the etch site. These optical activation methods may be employed in any  
 25 suitable manner, such as by flood exposure through a mask, or by projection onto a surface to effect non-contact selective etching.

The invention also contemplates pulsed operation in such etch applications, where the activating radiation is pulsed for high-efficiency etching action with a high degree of control, in relation to conventional dip/spray wet etching techniques.

5 The invention also contemplates use of SCF-based compositions to modify surface charge of a substrate to assist removal of unwanted material thereon, or to effect a protective action on the substrate. Thus, SCF-based compositions containing materials capable of binding to the substrate surface, or to selected surface regions thereof, can be employed to change the chemical properties of the surface, or to protect the underlying material or structure. The modifying components of the composition may be any suitable type, as for example high molecular weight materials having relatively low volatility (which low volatility characteristic renders such high molecular weight materials difficult or even impossible to transport by conventional deposition methods such as chemical vapor deposition).

10 By use of supercritical fluids, such modifying materials can be readily solubilized, transported efficiently to the substrate to be modified, and contacted with the substrate. Thereafter, the substrate can be rapidly dried and subsequently processed in the same process chamber, e.g., by cleaning processes, deposition processes, etc.

15 When the surface modification is employed to effect protective action on the substrate surface or a selected region thereof, the protected surface (as modified by the modifying agent) can subsequently be returned to its original state, such as by contacting of the substrate with another SCF-based composition containing a cleaning component, and/or by ordinary liquid phase cleaning chemistry after removal of the substrate element from the process chamber.

20 Such methodology permits the delivery of different materials, e.g., modifying/protective materials, other deposited materials, cleaning agents, etc., to the substrate using the same process equipment, thereby facilitating high through-put processing in the semiconductor manufacturing facility.

25 In a specific embodiment, long-chain materials constitute the modifying component. Such long-chain modifying components include, without limitation, long-chain organothiols, long-chain acetates and long-chain amines. Such long-chain organic materials can be bound to selected surface regions on the substrate to vary surface chemical properties, and/or to protect the contacted region of the substrate for subsequent processing. Long-chain materials can be applied to the substrate surface in specific embodiments for reversible sorption on the surface, such sorbed material being stable in subsequent processing steps, or alternatively, conferring on the coated substrate an enhanced cleanability, as appropriate to the specific application. Thus, a substrate surface may be modified to  
30 render it more highly susceptible to cleaning, in subsequent interaction with cleaning compositions,

e.g., SCF-based compositions, conventional wet cleaning agents, and the like.

As used herein, the term "long-chain" in reference to organic materials used for surface modification or surface protection, means a chain having at least eight atoms therein, e.g., from eight to forty atoms. In specific embodiments, the long-chain molecules may comprise C<sub>8</sub>-C<sub>40</sub> chains, C<sub>8</sub>-C<sub>30</sub>, or  
5 other carbon number ranges appropriate to the specific substrate and surface modification/surface protection function to be effected by the long-chain agent.

Substrates in the practice of the invention may be formed of any suitable material of construction. Illustrative substrate materials include, without limitation, silicon, sapphire, gallium arsenide, gallium nitride, silicon on insulator (SOI), and silicon carbide.

10 More generally, the present invention is susceptible of use in a wide variety of applications. By way of further specific example, SCF-assisted etching in accordance with the present invention may be utilized for cleaning of recording head sides of printed circuit boards (PCBs). Thus, SCF-based etching compositions and techniques of the invention may be employed for removal of surface oxides from copper surfaces prior to the plating of the recording head. The removal of surface oxides is  
15 necessary in such applications, since unremoved surface oxide deposits prevent good nucleation of electroplated deposits and interfere with electrical conductivity of the recording head.

A cleaning formulation can be made up by dissolving an appropriate acid medium in a supercritical fluid, and then dispensing same onto the contact surface of the PCB substrate. The wetting ability imparted by the supercritical fluid to the cleaning formulation obviates or at least minimizes the need  
20 for surfactant(s) in the cleaning formulation.

In another embodiment, hydrogen or other reductive species are dissolved in a supercritical fluid and activated at the surface to be cleaned. The activation may be effected in any suitable manner, e.g., thermally, optically or by means of sound waves (e.g., ultrasonically or megasonically), to reduce surface copper oxides and facilitate their removal from the PCB surface.

25 The invention may be carried out in a pulsed process wherein the cleaning medium includes the supercritical solvent, optionally with an etchant component or reductive agent. In such pulsed process, the cleaning medium is alternately and repetitively energized by thermal, optical and/or sonic means to effect the cleaning of the substrate.

The present invention is broadly applicable to integrated circuit (IC) manufacture, for cleaning operations between successive process steps to remove organic and/or inorganic residues that are present, such as photoresist or post-etch photoresist products.

By the provision of a supercritical fluid-based cleaning composition including at least one of (I) co-solvent(s), (II) surfactant(s), (III) chelating agent(s), and (IV) chemical reactant(s), the cleaning compositions and corresponding cleaning methods of the present invention facilitate removal of unwanted material in applications where low solubility and low wettability of the material to be removed would otherwise not be satisfactorily addressed by the SCF alone or by various combinations of (I)-(IV) without such SCF component.

Thus, the SCF-based cleaning compositions of the present invention permit effective cleaning of small size structures of semiconductor substrates, and have the further advantage that they eliminate the need for large quantities of liquid aqueous and organic reagents such as are used in conventional cleaning operations. As a result, the large volume waste streams from the semiconductor manufacturing facility that are generated by prior art cleaning techniques are avoided.

In the practice of the present invention, after the cleaning operation has been concluded, the (I) co-solvent(s), (II) surfactant(s), (III) chelating agent(s), and (IV) chemical reactant(s) are readily separated from the cleaning formulation due to the large difference in vapor pressure between the SCF and the other component(s) (I)-(IV).

It will be appreciated that the compositions and cleaning and etch removal methods of the invention may be practiced in a widely variant manner, consistent with the broad disclosure herein. Accordingly, while the invention has been described herein with reference to specific features, aspects, and embodiments, it will be recognized that the invention is not thus limited, but is susceptible of implementation in other variations, modifications and embodiments. Accordingly, the invention is intended to be broadly construed to encompass all such other variations, modifications and embodiments, as being within the scope of the invention hereinafter claimed.

## THE CLAIMS

### What is claimed is:

1. A cleaning formulation useful for removing unwanted material from a surface having such  
5 unwanted material thereon, said cleaning formulation comprising a supercritical fluid, at least  
one co-solvent, and at least one additional active agent.
2. The cleaning formulation according to claim 1, wherein the supercritical fluid comprises a fluid  
selected from the group consisting of: carbon dioxide, oxygen, argon, krypton, xenon, ammonia,  
and mixtures thereof.
- 10 3. The cleaning formulation according to claim 1, wherein the supercritical fluid comprises carbon  
dioxide.
4. The cleaning formulation according to claim 1, wherein said at least one co-solvent comprises a  
co-solvent selected from the group consisting of: methanol, ethanol, isopropyl alcohol, N-  
methylpyrrolidone, N-octylpyrrolidone, N-phenylpyrrolidone, dimethylsulfoxide, sulfolane,  
15 catechol, ethyl lactate, acetone, butyl carbitol, monoethanolamine, butyrol lactone, diglycol  
amine, alkyl ammonium fluoride,  $\gamma$ -butyrolactone, butylene carbonate, ethylene carbonate, and  
propylene carbonate.
5. The cleaning formulation according to claim 1, further comprising at least one surfactant.
6. The cleaning formulation according to claim 5, wherein the at least one surfactant comprises a  
20 surfactant selected from the group consisting of: 3,4-dimethyl-1-hexyn-3-ol and 2,4,7,9-  
tetramethyl-5-decyn-4,7-diol.
7. The cleaning formulation according to claim 1, further comprising at least one chelating agent.
8. The cleaning formulation according to claim 7, wherein the at least one chelating agent  
comprises a chelating agent selected from the group consisting of: iminodiacetic acid, lauryl

ethylenediamine triacetic acid, 2,4-pentanedione, 1,1,1,5,5,5-hexafluoro-2,4-pentanedione, substituted dithiocarbamates, malonic acid esters, and polyethylene glycols.

- 5
9. The cleaning formulation according to claim 1, wherein said at least one additional active agent comprises an acid that is effective to remove metal oxide material when same is present as the unwanted material on said surface.
10. The cleaning formulation according to claim 9, wherein said acid is selected from the group consisting of: perfluorocarboxylic acids, alkyl sulfonic acids, and aryl sulfonic acids.
- 10
11. The cleaning formulation according to claim 1, wherein the active agent comprises a reducing agent that is effective to remove metal oxide material when same is present as the unwanted material on said surface.
12. The cleaning formulation according to claim 11, wherein the reducing agent comprises hydrogen.
13. The cleaning formulation according to claim 11, wherein the reducing agent is activatable by an activation modality selected from the group consisting of thermal activation, optical activation, and sonic activation.
- 15
14. The cleaning formulation according to claim 11, wherein said metal oxide material comprises copper oxide.
15. A semiconductor wafer cleaning formulation useful to remove non-irradiated photoresist from a semiconductor wafer surface having irradiated and non-irradiated photoresist regions thereon, said formulation comprising an etching gas in supercritical form.
- 20
16. The cleaning formulation according to claim 15, wherein the etching gas comprises an etching agent selected from the group consisting of sulfur hexafluoride and carbon tetrafluoride.
17. The cleaning formulation according to claim 15, further comprising another component in supercritical fluid form.

18. The cleaning formulation according to claim 17, wherein the additional supercritical fluid component comprises a fluid species selected from the group consisting of carbon dioxide, oxygen, argon, krypton, xenon, and ammonia.
19. The cleaning formulation according to claim 17, wherein the additional supercritical fluid component comprises carbon dioxide.
20. The cleaning formulation according to claim 17, wherein the formulation is activatable by thermal and/or optical activation.
21. The cleaning formulation according to claim 15, further comprising a co-solvent.
22. The cleaning formulation according to claim 15, further comprising a surfactant.
23. The cleaning formulation according to claim 15, further comprising an active agent.
24. The cleaning formulation according to claim 15, further comprising a chelating agent.
25. A cleaning formulation for removing unwanted solid deposited material from a surface of a substrate having the unwanted solid deposited material thereon, said cleaning formulation comprising
- (I) a supercritical fluid comprising a fluid species selected from the group consisting of carbon dioxide, oxygen, argon, krypton, xenon, ammonia, and mixtures thereof; and
- (II) a co-solvent comprising a co-solvent species selected from the group consisting of methanol, ethanol, and higher alcohols, N-alkylpyrrolidones, such as N-methyl-, N-octyl-, or N-phenyl- pyrrolidones, dimethylsulfoxide, sulfolane, catechol, ethyl lactate, acetone, butyl carbitol, monoethanolamine, butyrolactone, diglycol amine, alkyl ammonium fluoride,  $\gamma$ -butyrolactone, butylene carbonate, ethylene carbonate, and propylene carbonate.
26. The cleaning formulation of claim 25, further comprising at least one surfactant.



27. The cleaning formulation of claim 25, further comprising at least one surfactant selected from the group consisting of acetylenic alcohols and diols, and long alkyl chain secondary and tertiary amines
28. The cleaning formulation of claim 25, further comprising at least one chelating agent.
- 5 29. The cleaning formulation of claim 25, further comprising at least one chelating agent selected from the group consisting of polycarboxylic acids,  $\beta$ -diketones, substituted dithiocarbamates, malonic acid esters, and polyethylene glycols.
- 10 30. The cleaning formulation of claim 25, further comprising at least one chelating agent selected from the group consisting of iminodiacetic acid, lauryl ethylenediamine triacetic acid, 2,4-pentanedione, 1,1,1,5,5,5-hexafluoro-2,4-pentanedione, substituted dithiocarbamates, malonic acid esters, and polyethylene glycols.
31. The cleaning formulation of claim 25, further comprising at least one active agent.
32. The cleaning formulation of claim 25, further comprising at least one active agent selected from the group consisting of (a) acids, (b) bases, (c) oxidants, and (d) reductants.
- 15 33. The cleaning formulation of claim 25, further comprising at least one active agent selected from the group consisting of perfluorocarboxylic acids, alkyl sulfonic acids, aryl sulfonic acids, alkyl amines, ozone, dinitrogen oxide, potassium permanganate, and hydrogen.
- 20 34. A method for fabricating a semiconductor wafer, said method comprising removing unwanted material from the surface of the wafer with a cleaning formulation comprising a supercritical fluid, at least one co-solvent, and at least one additional active agent.
35. The method according to claim 34, wherein the supercritical fluid comprises a fluid selected from the group consisting of: carbon dioxide, oxygen, argon, krypton, xenon, ammonia, and mixtures thereof.
36. The method according to claim 34, wherein the supercritical fluid comprises carbon dioxide.

37. The method according to claim 34, wherein said at least one co-solvent comprises a co-solvent selected from the group consisting of: methanol, ethanol, isopropyl alcohol, N-methylpyrrolidone, N-octylpyrrolidone, N-phenylpyrrolidone, dimethylsulfoxide, sulfolane, catechol, ethyl lactate, acetone, butyl carbitol, monoethanolamine, butyrol lactone, diglycol amine, alkyl ammonium fluoride,  $\gamma$ -butyrolactone, butylene carbonate, ethylene carbonate, and propylene carbonate.
38. The method according to claim 34, wherein the cleaning formulation includes at least one surfactant.
39. The method according to claim 38, wherein the at least one surfactant comprises a surfactant selected from the group consisting of: 3,4-dimethyl-1-hexyn-3-ol and 2,4,7,9-tetramethyl-5-decyn-4,7-diol.
40. The method according to claim 34, wherein the cleaning formulation further comprises at least one chelating agent.
41. The method according to claim 40, wherein the at least one chelating agent comprises a chelating agent selected from the group consisting of: iminodiacetic acid, lauryl ethylenediamine triacetic acid, 2,4-pentanedione, 1,1,1,5,5,5-hexafluoro-2,4-pentanedione, substituted dithiocarbamates, malonic acid esters, and polyethylene glycols.
42. The method according to claim 34, wherein said at least one additional active agent comprises an acid that is effective to remove metal oxide material when same is present as the unwanted material on said surface.
43. The method according to claim 42, wherein said acid is selected from the group consisting of: perfluorocarboxylic acids, alkyl sulfonic acids, and aryl sulfonic acids.
44. The method according to claim 34, wherein the active agent comprises a reducing agent that is effective to remove metal oxide material when same is present as the unwanted material on said surface.
45. The method according to claim 44, wherein the reducing agent comprises hydrogen.

46. The method according to claim 44, wherein the reducing agent is activatable by an activation modality selected from the group consisting of thermal activation, optical activation, and sonic activation.
47. The method according to claim 44, wherein said metal oxide material comprises copper oxide.
- 5 48. A method of removing non-irradiated photoresist from a semiconductor wafer surface having irradiated and non-irradiated photoresist regions thereon, comprising contacting the semiconductor wafer surface with an etching gas in supercritical form.
49. The method according to claim 48, wherein the etching gas comprises an etching agent selected from the group consisting of sulfur hexafluoride and carbon tetrafluoride.
- 10 50. The method according to claim 48, wherein the cleaning formulation further comprises another component in supercritical fluid form.
51. The method according to claim 50, wherein the additional supercritical fluid component comprises a fluid species selected from the group consisting of carbon dioxide, oxygen, argon, krypton, xenon, and ammonia.
- 15 52. The method according to claim 50, wherein the additional supercritical fluid component comprises carbon dioxide.
53. The method according to claim 48, wherein the cleaning formulation is activatable by thermal and/or optical activation.
54. The method according to claim 48, wherein the cleaning formulation further comprises a co-  
20 solvent.
55. The method according to claim 48, wherein the cleaning formulation further comprises a surfactant.
56. The method according to claim 48, wherein the cleaning formulation further comprises an active agent.

57. The method according to claim 48, wherein the cleaning formulation further comprises a chelating agent.

58. A method for removing unwanted solid deposited material from a surface of a substrate having the unwanted solid deposited material thereon, comprising contacting the substrate surface with a cleaning formulation comprising

(I) a supercritical fluid comprising a fluid species selected from the group consisting of carbon dioxide, oxygen, argon, krypton, xenon, ammonia, and mixtures thereof; and

(II) a co-solvent comprising a co-solvent species selected from the group consisting of methanol, ethanol, and higher alcohols, N-alkylpyrrolidones, such as N-methyl-, N-octyl-, or N-phenyl- pyrrolidones, dimethylsulfoxide, sulfolane, catechol, ethyl lactate, acetone, butyl carbitol, monoethanolamine, butyrolactone, diglycol amine, alkyl ammonium fluoride,  $\gamma$ -butyrolactone, butylene carbonate, ethylene carbonate, and propylene carbonate.

59. The method of claim 58, wherein the cleaning formulation further includes at least one surfactant.

60. The method of claim 58, wherein the cleaning formulation further includes at least one surfactant selected from the group consisting of acetylenic alcohols and diols, and long alkyl chain secondary and tertiary amines

61. The method of claim 58, wherein the cleaning formulation further includes at least one chelating agent.

62. The method of claim 58, wherein the cleaning formulation further includes at least one chelating agent selected from the group consisting of polycarboxylic acids,  $\beta$ -diketones, substituted dithiocarbamates, malonic acid esters, and polyethylene glycols.

63. The method of claim 58, wherein the cleaning formulation further includes at least one chelating agent selected from the group consisting of iminodiacetic acid, lauryl ethylenediamine triacetic

acid, 2,4-pentanedione, 1,1,1,5,5,5-hexafluoro-2,4-pentanedione, substituted dithiocarbamates, malonic acid esters, and polyethylene glycols.

64. The method of claim 58, wherein the cleaning formulation further includes at least one active agent.
- 5 65. The method of claim 58, wherein the cleaning formulation further includes at least one active agent selected from the group consisting of (a) acids, (b) bases, (c) oxidants, and (d) reductants.
66. The method of claim 58, wherein the cleaning formulation further includes at least one active agent selected from the group consisting of perfluorocarboxylic acids, alkyl sulfonic acids, aryl sulfonic acids, alkyl amines, ozone, dinitrogen oxide, potassium permanganate, and hydrogen.
- 10 67. A method of integrated circuit (IC) manufacture on a semiconductor substrate, comprising cleaning the semiconductor substrate to remove organic and/or inorganic material present thereon, wherein said cleaning comprises contacting the semiconductor substrate with a supercritical fluid-based cleaning composition including at least one of (I) co-solvent(s), (II) surfactant(s), (III) chelating agent(s), and (IV) chemical reactant(s).
- 15 68. A method of integrated circuit (IC) manufacture on a semiconductor substrate, comprising cleaning the semiconductor substrate to remove organic and/or inorganic material present thereon, wherein said cleaning comprises contacting the semiconductor substrate with a supercritical fluid-based cleaning composition to permeate same into the material, and heating the semiconductor substrate to induce removal of the material therefrom by the action of the
- 20 supercritical fluid-based cleaning composition.
69. A method of integrated circuit (IC) manufacture on a semiconductor substrate, comprising cleaning the semiconductor substrate to remove organic and/or inorganic material present thereon, wherein said cleaning comprises contacting the semiconductor substrate with a supercritical fluid-based cleaning composition in a pulsed mode of operation involving
- 25 intermittent administration of energy to the cleaning composition on the semiconductor substrate.
70. A method of removing non-irradiated photoresist from a semiconductor wafer surface having

irradiated and non-irradiated photoresist regions thereon, comprising contacting the semiconductor wafer surface with an etching agent in the presence of a supercritical fluid.

71. A method of cleaning a printed circuit board surface of unwanted metal oxides thereon, comprising contacting the printed circuit board surface with a cleaning formulation comprising an acid dissolved in a supercritical fluid.

72. A method of cleaning a surface of unwanted material deposited thereon, comprising contacting the surface with a cleaning formulation comprising a reducing agent in a supercritical fluid.

73. The method of claim 72, wherein the cleaning formulation is activated by an activation modality selected from the group consisting of thermal activation, optical activation and sonic activation, to effect cleaning action on the surface.

74. The method of claim 72, wherein the unwanted material comprises copper oxide.

75. The method of claim 72, wherein the unwanted material comprises unexposed photoresist.

76. A method of surface treatment of a substrate to produce a modified surface amenable to further processing, said method comprising contacting the substrate surface with a modifying composition comprising a supercritical fluid and at least one surface-modifying component effective to change the chemical properties of the substrate surface, or to protect underlying material or structure associated with such substrate surface.

77. The method of claim 76, wherein the at least one surface-modifying component comprises a long-chain organic material.

78. The method of claim 76, wherein the at least one surface-modifying component comprises at least one long-chain compound selected from the group consisting of long-chain organothiols, long-chain acetates and long-chain amines.

79. The method of claim 76, wherein the SCF component comprises supercritical CO<sub>2</sub>.

80. A surface modification composition for modifying the chemical properties of a substrate surface

or to protect underlying material or structure associated with the substrate surface, said composition comprising an SCF and a long-chain organic material.

81. The composition of claim 80, wherein said long-chain organic material comprises an organic component selected from the group consisting of long-chain organothiols, long-chain acetates and long-chain amines.
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### ABSTRACT OF THE DISCLOSURE

Chemical formulations and methods for removing unwanted material, such as unexposed photoresist, metal oxides, CMP residue, and the like, from semiconductor wafers or other substrates. The formulations utilize a supercritical fluid-based cleaning composition, which may further include (I) 5 co-solvent(s), (II) surfactant(s), (III) chelating agent(s), and/or (IV) chemical reactant(s).

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